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December 2, 1999

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PEDERAL COMMUNICATIONS COMMISSION
OFFICE OF THE SECRETARY

#### **VIA FEDERAL EXPRESS**

Ms. Magalie Román Salas, Secretary Federal Communications Commission The Portals 445 121h Street, S.W. Washington, D.C. 20554

Re: RCN Telecom Services, Inc.'s Comments in Support of MCI WorldCom's

Petition for Reconsideration in the Matter of Implementation of the Telecommunications Act of 1996. Telecommunications Carrier's Use of Customer Proprietary Network Information and Other Customer Information, CC

Docket No. 96-115 and Docket No. 96-149

Dear Ms. Román Salas:

RCN Telecom Services, Inc., by its undersigned counsel, respectfully submits comments in support of an earlier petition filed by MCI WorldCom in the above-captioned matter. An original and seven (7) copies of this filing are enclosed. Please date stamp the enclosed extra copy of this filing and return it in the self-addressed stamp envelope provided herein.

Please do not hesitate to contact William L. Fishman at (202) 945-6986 should you have any questions or concerns regarding this matter.

Respectfully submitted,

William L. Fishman

Counsel for RCN Telecom Services, Inc.

Enclosure

cc:

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# Before the Federal Communications Commission Washington, DC 20554

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FEDERAL COMMUNICATIONS COMMISSION

OFFICE OF THE SECRETARY

In the Matter of

Implementation of the Telecommunications	)	
Act of 1996	)	
	)	CC Docket No. 96-115
Telecommunications Carriers' Use	)	
of Customer Proprietary Network	)	
Information and other Customer Information;	)	
	)	
Implementation of the Non-Accounting	)	CC Docket No. 96-149
Safeguards of Sections 271 and 272 of the	)	
Communications Act of 1934, As Amended	)	

#### COMMENTS OF RCN TELECOM SERVICES, INC.

RCN Telecom Services, Inc. ("RCN"), through its undersigned counsel and pursuant to the Federal Communication Commission's ("Commission") *Public Notice*, hereby submits comments in support of MCI WorldCom's ("MCI") Petition filed with the Commission on November 1, 1999, in the above-captioned docket. RCN suggests that the Commission further revise its rules on Customer Proprietary Network Information ("CPNI") as elaborated below, to render them pro-competitive and competitively neutral by mandating earlier CPNI access, easing consent form restrictions, and allowing consumers to be informed of the value of their CPNI.

RCN is a facilities-based competitive local exchange carrier serving residential and business customers. RCN provides a full range of voice and broadband services over its own facilities-based network. The company is currently providing local and long distance telephone, broadband video and Internet services in several markets from Boston to Washington, D.C. RCN's parent company is certificated as a competitive local exchange carrier ("CLEC") in a total of fourteen states.

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<sup>&</sup>lt;sup>1</sup> 64 Fed. Reg. 221 (Nov. 17, 1999).

In its petition, MCI asks the Commission to enable earlier access to consumer service provisioning information by permitting simple, general forms for requesting consumer consent; to allow such customer consent to be extended to affiliate and successor firms; and to be permitted to inform customers of the importance of granting access to their CPNI. RCN agrees with MCI that early access to CPNI is necessary before CLECs will be able to compete on equal terms with the incumbents. Without early access to CPNI, competitive carriers are handicapped in seeking to build a customer base on a scale that will permit viable local competition. Particularly, the Commission should permit access to customer provisioning profiles early in a CLECs' marketing efforts to acquire new subscribers. In addition, the Commission should clarify that customer consent, once acquired, unambiguously extends to subsequent transactions between the parties, their affiliates and successors. The present rule<sup>2</sup> requires CLECs to engage in palmistry – having to anticipate, compile and recite all possible future uses of a particular instance of consumer CPNI.

Finally, the current rules adopted by the Commission do not level the playing field for CLECs with respect to control over CPNI. The overwhelming incumbent advantage the pro-competitive provisions of the Telecommunications Act of 1996<sup>3</sup> were intended to overcome persists where incumbents can maintain an information barrier, about which competitive carriers may not warn consumers. CLECs need to be allowed to warn customers that denial of CPNI access will have a negative impact on the ability of these providers to inform them of superior rates and services and may even lead to service disruption upon migration to a CLEC.

<sup>&</sup>lt;sup>2</sup> In the Matter of Implementation of the Telecommunications Act of 1996. Telecommunications Carriers' Use of Customer Proprietary Network Information and Other Customer Information. Order on Reconsideration and Petitions for Forbearance. CC Docket No. 96-115 and 96-149 at ¶ 115 (rel. September 3, 1999).

<sup>&</sup>lt;sup>3</sup> 47 U.S.C. § 202 et seq. (1999).

### I. The Commission Should Act to Harmonize the Twin Goals of Safeguarding Competition and Consumer Privacy

The Commission's CPNI rules<sup>4</sup> aim to bridge the pro-competitive provisions of the Act<sup>5</sup> on the one hand and its consumer-protective and privacy aims on the other.<sup>6</sup> The Commission should act in response to MCI's petition to reform its CPNI rules to protect consumer interest in a competitive level playing field. As noted by MCI, the experience of competitive carriers demonstrates that the balance of consumer protection and marketplace flexibility is still not being struck in the manner envisioned by Congress or the Commission. Rather, the current CPNI rules are being employed by ILECs to protect themselves against CLEC challengers by denying timely access to CPNI essential for market access. This harms competition and consumers who would otherwise avail themselves of the choices available in the local telecommunications marketplace and thereby foster competition among carriers.

#### II. Pre-consent Availability of Customer Provisioning Profiles Would Enhance Local Competition and Empower Consumers

RCN agrees with MCI that early access to CPNI is crucial to bringing competitive services to potential subscribers. Witholding adequate provisioning information from CLECs tends to lock consumers into incumbent service and prevents their taking advantage of lower-priced and innovative competitive services. RCN's experience as a competitive carrier in a number of states is similar to that of MCI. RCN has found that the unavailability of timely CPNI information (particularly of provisioning profiles) constitutes a formidable barrier to entry. Lack of access to this information frustrates consumer interest in comparison-shopping and inhibits customer willingness to migrate

<sup>&</sup>lt;sup>4</sup> Supra Note 2.

<sup>&</sup>lt;sup>5</sup> 47 U.S.C. §§ 251 (Interconnection), 252 (Procedures for Negotiations), § 271 (Bell Operating Company Entry into Inter-LATA Service) (1999).

<sup>&</sup>lt;sup>6</sup> 47 U.S.C. § 222 (1999).

to a competitive carrier. The net effect of this situation is to strengthen the ability of ILECs to retain customers by withholding from these customers their own provisioning profiles and so preclude rational consumer choices in the marketplace. The current restrictions on customer provisioning profiles<sup>7</sup> are contrary to the spirit of the Act, preventing consumer access to information ostensibly theirs and preserving an information monopoly in the hands of the incumbent providers.

RCN concurs with MCI that customers would prefer seamless migration from one provider to another -- which requires the ability to keep intact their service profiles and options ("migrate-as-is"). Where the inability to access provisioning profiles results in obstacles to migration and, from the customer perspective, prevents a seamless move, the competitive aims of the law are stymied. RCN suggests that the Commission require – early enough for meaningful comparison – the disclosure of provisioning profiles to the customer and thus also to competing carriers for the specific purposes of enabling comparison shopping and seamless migration.

### III. The Current Rules on Obtaining Consent Create Legal Uncertainty While Providing No Corresponding Consumer Benefit.

The Commission should also act to clarify and extend to commercially reasonably boundaries the scope of customer consents. The current rules<sup>8</sup> do not actually protect customers beyond what a simpler rule might accomplish, while creating substantial uncertainty for CLECs regarding the breadth of the consent obtained. RCN suggests the Commission mandate a simple and clear consent request phrased in general language and not limited to specific users and time periods. Despite requiring a lengthy "laundry list" consent script covering each type of CPNI as well as who may view it, the current rules<sup>9</sup>

<sup>&</sup>lt;sup>7</sup> Supra Note 2 at ¶¶ 86-92.

<sup>&</sup>lt;sup>8</sup> Supra Note 2 at ¶ 115,116.

<sup>&</sup>lt;sup>9</sup> Supra Note 8.

fail to secure legal certainty in a real world setting. The central difficulty of these rules lies in their static *ex-ante* assumptions. Rather than providing significant consumer control over individual CPNI, the primary impact of the current rules is to stifle CLEC acquisition of new subscribers.

The value of customer CPNI is contextual. Access to a consumer's CPNI profile creates a momentary snapshot of that customer's service preferences whose accuracy declines quickly over time. After several billing cycles or a provider change, the information is outdated. Thus the scope of customer consent is self-limiting over time and does not require the cumbersome safeguards now mandated. More significantly from the provider perspective, the entities to which the customer's consent is given are subject to change. Where a CLEC expands the range of services it is able to offer, either on its own or through a subsidiary or acquisition, these organic successors of the original requestor to whom a customer allowed access to his or her CPNI should be covered by that consent. By not permitting the devolution of customer consent to successor entities and services, the Commission implicitly favors incumbent service providers, since that sector of the telecommunications industry is not characterized by the same entrepreneurial dynamic as is found among competitive carriers. RCN suggests that, given the self-limiting nature of CPNI information over time, allowing customer consent to be phrased more broadly is not likely to burden consumer privacy interests, while bringing innovative product and service information to the consumer far more effectively and quickly.

## IV. Allowing the Incumbent Operator to Hold Hostage a Consumer's CPNI Record Creates a Danger of Service Delays and Interruptions of Which the Consumer Should Be Warned

Designed to prevent marketing abuses through pressure tactics, the "no-warn" rule 10 in the current context is too restrictive. The Commission should revise its rules to permit informing consumers of the role CPNI plays in switching over their services correctly and seamlessly and without unnecessary delays. The current rules serve to perpetuate the information asymmetry existing in favor of incumbent providers while keeping consumers ignorant of the control which the ILEC exercises over their CPNI for its own purposes unrelated to the consumer-protection aims of Section 222. 11 Since consumers are only superficially aware of their CPNI and its uses, they should be informed that, without granting CPNI access to a CLEC, a smooth service migration is difficult to accomplish. The consumer thus should be entitled to a statement informing him or her that the CPNI maintained about him or her by the local ILEC is crucial to the ability to take advantage of competing service offerings and cannot be replicated from other sources without difficulty.

Accordingly, competitive carriers need to be allowed to warn customers that denial of CPNI access will negatively impact the provision of service to such customers if they choose to leave the incumbent provider. Doing so would alert consumers to the vital importance such information plays and put them in control of decisions regarding the uses of *their* CPNI. The Commission should permit the free exchange of information regarding the importance and uses of a consumer's CPNI between the individual and a competitive carrier seeking their business.

<sup>&</sup>lt;sup>10</sup> Supra Note 3.

<sup>&</sup>lt;sup>11</sup> 47 U.S.C. § 222 (1999).

#### V. Conclusion

For the foregoing reasons, the Commission should take affirmative action to level the playing field for competitive service providers by loosening the choke hold on CPNI information now exercised by incumbent carriers under the guise of consumer protection measures. The Commission has taken some initial steps in this direction by revising its original rules, <sup>12</sup> simplifying them while "preserving the consumer protections mandated by Congress . . ." Now the Commission should take a further step. The current situation does not in fact benefit consumers of local telecommunication services but frustrates a functioning marketplace in local telecommunications. The pro-competitive rule changes proposed in these comments seek to enhance the development of market mechanisms in local telecommunications services and to empower consumers to benefit therefrom.

Respectfully submitted,

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Dated: December 2, 1999

<sup>&</sup>lt;sup>12</sup> In the Matter of Implementation of the Telecommunications Act of 1996. Telecommunications Carriers' Use of Customer Proprietary Network Information and Other Customer Information. Second Report and Order and Further Notice of Proposed Rulemaking. CC Docket 96-115 and 96-149 (rel. February 26, 1998). The 10<sup>th</sup> Circuit, in US West v. FCC (No. 98-9518, filed August 18, 1999) vacated this Order on First Amendment Grounds.

<sup>&</sup>lt;sup>13</sup> Supra Note 3 at 4.

### **CERTIFICATE OF SERVICE**

I, Denise Robinson, do certify that on December 2, 1999, copies of the accompanying Comments of RCN Telecom Services, Inc. were either hand-delivered, or deposited in the U.S. Mail, first-class, postage prepaid to the persons on the attached service list.

Denise Robinson

### **CERTIFICATE OF SERVICE**

I, Denise Robinson, do certify that on December 2, 1999, copies of the accompanying		
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